

Circular No.: NSDL/TRAINING/2018/0005

Date: November 26, 2018

Subject: Compliance Oriented Training Program for Participants at Mumbai**(I) Background**

In light of the various developments in compliance requirements, to facilitate efficient functioning of NSDL depository operations, to understand the various recent communications / circulars issued by NSDL, to understand the compliance requirements and to clarify the doubts / issues pertaining to KYC requirements for Account Opening, half-day training program on '**Compliance Oriented Training Program**' is being organized at **Mumbai** on **December 10, 11 & 12, 2018** for Participants.

(II) Target audience who can attend the Training Program

- ✓ Compliance Officers of Participants.
- ✓ New entrants and existing officials of the Participants handling '**DP Operations and Account Opening**' who are interested to enhance their knowledge.

(III) Details of the Training Program

1) Schedule:

Date of Training Program	Location	Time
December 10, 2018	Mumbai	3.30 pm to 6.00 pm
December 11, 2018		
December 12, 2018		

- 2) Venue of the training program will be communicated later.
- 3) Presentation will be given by experienced faculty members.
- 4) The contents of the training programme will be same on all the dates. Participant may kindly choose their preferred date. In case of non-availability of seat, Participants will be registered on either of the remaining two dates.
- 5) As there are limited seats, training dates will be allotted to attendees based on receipt of the registration on 'First Come First Served Basis'.

- 6) Digitally signed 'Participation Certificate' will be issued to the officials of Participants attending the program.
- 7) No fees will be charged for the training program i.e., the training program will be conducted free of cost.
- 8) Snacks will be served during the training program.
- 9) Training related material will be provided at the training venue.
- 10) Participants can register the names of their representatives by sending an email at trainingdept@nsdl.co.in in the format given below :

Sr. no.	Date	Name of the Participant	DP ID	Name of the official attending the training	e-mail ID of the official attending the training	Mobile number (only 10 digit number to be provided) of the official attending training	Preferred date (if any)
	December 10 or 11 or 12, 2018						

For more information / clarification, Participants are requested to contact the following officials:

Name of the official	Telephone Number	Email address
Ms. Bhuvaneshwari P	(022) 2499 4343	bhuvaneshwariv@nsdl.co.in
Ms. Richi Savla	(022) 2499 4544	richis@nsdl.co.in
Mr. Vipin Warke	(022) 2499 4239	vipinw@nsdl.co.in
Mr. Harsh Kotak	(022) 2499 4487	harshk@nsdl.co.in

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

ING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

